

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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and Frederick Kelmer, Jr.

Application No.: 09/808,099 Group Art Unit: 2644
Filed: March 13, 2001 Examiner: Not Assigned
Confirmation No.: 3114
Title: HEARING AID FORMAT SELECTOR

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CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202	
on <u>9/20/02</u>	<u>Jennifer Warner</u>
Date	Signature
<u>Jennifer Warner</u>	
Typed or printed name of person signing certificate	

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
 - ☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
 - ☐ a \$180.00 fee under 37 CFR 1.17(p), or

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(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)

- ☐ under 37 CFR 1.97(i):
Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.
(Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- ☒ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
- ☒ Copies of the cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]
- ☒ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.
- ☒ The "concise explanation" requirement (non-English references) for references *AL* and *AN* under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☒ submission of the enclosed International Search Report.
 - ☐ submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
 - ☐ the enclosed English language abstract.

☐ Applicant requests that the following non-published pending applications be considered.

Examiner's
Initials

____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []

____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []

____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []

Examiner

Date

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☐ A copy of each above-cited application, including the current claims, is enclosed.

☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

☐ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.

☐ Please charge Deposit Account 08-0380 in the amount of \$[]. A copy of this Statement is enclosed.

☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

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Dated: Sept. 20, 2002

PTO-1449 REPRODUCED

**SUPPLEMENTAL
INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

September 19, 2002

(Use several sheets if necessary)

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U.S. PATENT & TRADEMARK OFFICE

ATTORNEY DOCKET NO.
506.2013-001

APPLICATION NO.
09/808,099

APPLICANT
Christopher J. Poux et al.

FILING DATE
March 13, 2001

CONFIRMATION NO.
3114

GROUP
2644

U.S. PATENT DOCUMENTS

EXAM- INER INI- TIAL		DOCUMENT NUMBER	ISSUE DATE / PUBLICATION DATE	
	AB	2,246,737	06/24/41	Knudsen
	AC	2,487,038	11/08/49	Baum
	AD	3,047,089	07/31/62	Zwislocki
	AE	3,080,011	03/05/63	Henderson
	AF	4,055,233	10/25/77	Huntress
	AG	4,170,720	10/09/79	Killion
	AH	4,291,203	09/22/81	Bellafiore
	AI	4,395,601	07/26/83	Köpke, et al.
	AJ	4,447,677	05/08/84	Miyahra, et al.
	AK	4,759,070	07/19/88	Voroba, et al.
	AA2	4,840,249	06/20/89	Birkholz, et al.
	AB2	5,048,090	09/10/91	Geers
	AC2	5,083,312	01/21/92	Newton, et al.
	AD2	5,546,280	08/13/96	Hasebe, et al.
	AE2	5,604,812	02/18/97	Meyer
	AF2	5,748,743	05/05/98	Weeks
	AG2	5,753,870	05/19/98	Schlaegel, et al.
	AH2	5,923,764	07/13/99	Shennib

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
	AL	2 633 827 /	20-06-88	France			X
	AM	0 419 153 A2 /	14-09-90	Europe			
	AN	0 917 398 A2 /	19-05-99	Europe			X
	AO	WO 95/31015 /	16-11-95	PCT			
	AP	WO 97/15114	24-04-97	PCT			
	AQ	WO 97/34443	18-09-97	PCT			
	AL2	WO 98/51125	12-11-98	PCT			

EXAMINER

DATE CONSIDERED